



## CO<sub>2</sub>-Forced Climate and Vegetation Instability During Late Paleozoic Deglaciation

Isabel P. Montañez, *et al.*

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experiments (8, 9). The Agung data are also consistent with laboratory experiments because the  $\Delta^{33}\text{S}$  versus  $\delta^{34}\text{S}$  Agung slope (Fig. 2) is the same as that of the Xe lamp experiment obtained for  $\lambda > 220$  nm and very close to that of the KrF laser experiments conducted at 248 nm (8, 9).

The sulfur isotopic anomalies in volcanic samples are much smaller than those observed in Archean rocks older than 2.45 billion years (5, 6, 23, 24). In today's atmosphere, OH radicals remain the main sink of  $\text{SO}_2$  emitted after a volcanic eruption, and the  $\text{SO}_2^* + \text{SO}_2$  reaction is a minor reaction when compared to the  $\text{SO}_2 + \text{OH}$  reaction. The sulfur MIF measured in volcanic sulfate recorded in snow is a diluted signal and may actually reach the extreme values recorded in Archean rocks. To estimate the upper limit of the sulfur isotopic anomaly generated by the photooxidation process, researchers should compare the kinetics of the  $\text{SO}_2 + \text{OH}$  and  $\text{SO}_2^* + \text{SO}_2$  reactions. Unfortunately, the rate of  $\text{SO}_2^* + \text{SO}_2$  is controversial (25) and is needed for such quantification.

Sulfur mass-independent composition of volcanic sulfate is a time-dependent process, first displaying a positive  $\Delta^{33}\text{S}$  followed by a negative  $\Delta^{33}\text{S}$  at the end of the volcanic plume depositional process. This process occurs on a monthly time scale before  $\text{SO}_2$  is fully oxidized in  $\text{H}_2\text{SO}_4$ , indicating a rapid process. The nonzero average  $\Delta^{33}\text{S}$  observed for the full duration of the event requires two conditions: First, the process creates two reservoirs of MIF with opposing signs; second, these two reservoirs must be physically separated in space and time in addition to having a difference in depositional rates. The only way to explain the oscillation of the  $\Delta^{33}\text{S}$  sign is to consider the fundamental role of aerosols and sedimentation in preserving the isotopic signal. Microphysical processes must be taken into account in models to reproduce sulfur MIF of stratospheric volcanic sulfate. When the relationship between aerosols and sulfur MIF is established, volcanic plume transport may be understood, allowing a precise glaciological record of the climatic impact of stratospheric eruptions.

#### References and Notes

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- The deviation from the mass-dependent relationships is calculated by the following equations:  $\Delta^{33}\text{S} = \delta^{33}\text{S} - 1000 \cdot [(1 + \delta^{34}\text{S}/1000)^{0.515} - 1]$  and  $\Delta^{36}\text{S} = \delta^{36}\text{S} - 1000 \cdot [(1 + \delta^{34}\text{S}/1000)^{1.91} - 1]$ . Considering the small size of the samples, our analytical accuracy, with a  $2\sigma$  uncertainty, is equal to 0.12‰ for  $\Delta^{33}\text{S}$  and varies from 0.64 to 1.63‰ for  $\Delta^{36}\text{S}$ . Only  $|\Delta^{33}\text{S}| > 0.12\%$  and  $|\Delta^{36}\text{S}| > 0.64\%$  are considered as diagnostic of MIF in the present study. Uncertainties ( $2\sigma$ ) are 0.07, 0.19, and 0.53 to 1.59‰ for  $\delta^{33}\text{S}$ ,  $\delta^{34}\text{S}$ , and  $\delta^{36}\text{S}$ , respectively.
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#### Supporting Online Material

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Materials and Methods  
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Fig. S1  
Tables S1 and S2  
References

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## CO<sub>2</sub>-Forced Climate and Vegetation Instability During Late Paleozoic Deglaciation

Isabel P. Montañez,<sup>1\*</sup> Neil J. Tabor,<sup>2</sup> Deb Niemeier,<sup>3</sup> William A. DiMichele,<sup>4</sup> Tracy D. Frank,<sup>5</sup> Christopher R. Fielding,<sup>5</sup> John L. Isbell,<sup>6</sup> Lauren P. Birgenheier,<sup>5</sup> Michael C. Rygel<sup>5†</sup>

The late Paleozoic deglaciation is the vegetated Earth's only recorded icehouse-to-greenhouse transition, yet the climate dynamics remain enigmatic. By using the stable isotopic compositions of soil-formed minerals, fossil-plant matter, and shallow-water brachiopods, we estimated atmospheric partial pressure of carbon dioxide ( $p\text{CO}_2$ ) and tropical marine surface temperatures during this climate transition. Comparison to southern Gondwanan glacial records documents covariance between inferred shifts in  $p\text{CO}_2$ , temperature, and ice volume consistent with greenhouse gas forcing of climate. Major restructuring of paleotropical flora in western Euramerica occurred in step with climate and  $p\text{CO}_2$  shifts, illustrating the biotic impact associated with past CO<sub>2</sub>-forced turnover to a permanent ice-free world.

A decade of studying Pleistocene ice cores has unequivocally documented a strong coupling of atmospheric partial pressure of CO<sub>2</sub> ( $p\text{CO}_2$ ) and surface temperatures with changing global ice volume (1, 2). Although the precise mechanistic link between atmospheric greenhouse gases and climate is debated, there remains little doubt that high concentrations of atmospheric CO<sub>2</sub> have strongly amplified Earth's past climates. Anthropogenic CO<sub>2</sub> emissions have increased atmospheric CO<sub>2</sub> to concentrations higher than at any time in at least the past 650,000 years and could increase it to more than 2000 parts per million by volume (ppmv) as accessible fossil fuel reservoirs are exhausted (3). The last time such concentrations were seen on Earth was at the onset of our modern

icehouse [~40 to 34 million years ago (Ma)], a transition from ice-free to glacial conditions characterized by repeated C cycle perturba-

<sup>1</sup>Department of Geology, University of California, Davis, CA 95616, USA. <sup>2</sup>Department of Geological Sciences, Southern Methodist University, Dallas, TX 75275, USA. <sup>3</sup>Department of Civil and Environmental Engineering, University of California, Davis, CA 95616, USA. <sup>4</sup>Department of Paleobiology, Smithsonian Museum of Natural History, Washington, DC 20560, USA. <sup>5</sup>Department of Geosciences, 214 Bessey Hall, University of Nebraska, Lincoln, NE 68588, USA. <sup>6</sup>Department of Geosciences, University of Wisconsin, Post Office Box 413, Milwaukee, WI 53201, USA.

\*To whom correspondence should be addressed. E-mail: montanez@geology.ucdavis.edu

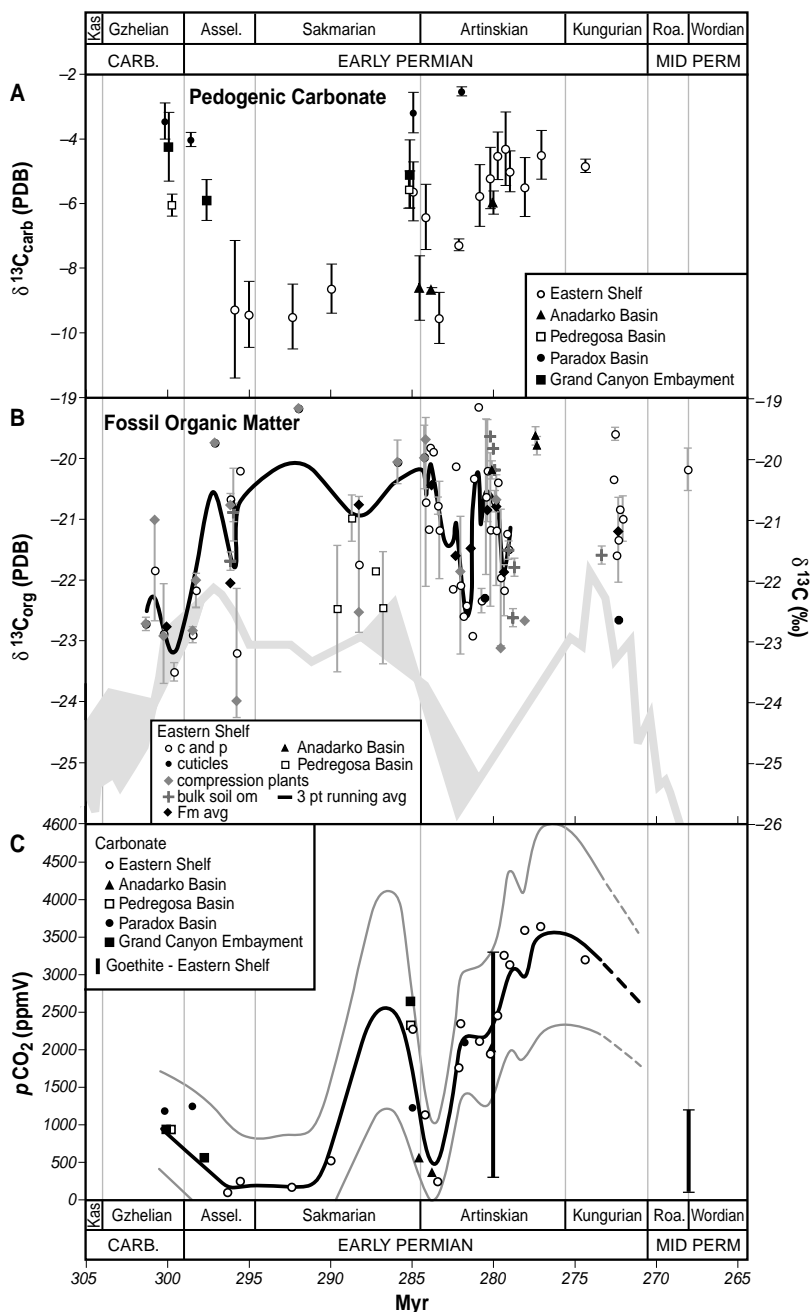
†Present address: Department of Geology, State University of New York, College at Potsdam, Potsdam, NY 13676, USA.

tion, large magnitude changes in atmospheric  $p\text{CO}_2$ , and major ephemeral warmings (4, 5). As our climate system departs from the well-studied Pleistocene glacial-interglacial cycles, a deep-time perspective of  $p\text{CO}_2$ -climate-glaciation linkages is essential for a fuller understanding of what may be the Earth's most epic deglaciation.

We present here the results of a multipronged investigation that provides evidence for significantly changing atmospheric  $\text{CO}_2$  concentrations and surface temperatures during a 40-million-year period of the late Paleozoic (~305 to 265 Ma), which encompasses the deterioration of the most widespread and long-lived icehouse of the last half-billion years (6). This global warming event accompanied a permanent transition to an ice-free world, a condition that arguably lasted until the current glacial state. These results, when integrated with a newly emerging glaciation history for southern Gondwana (7–11), indicate strong linkages between  $p\text{CO}_2$ , climate, and ice-mass dynamics during the final stages of the Late Paleozoic Ice Age (end of LPIA). Integration of these climate proxy records with our newly developed tropical paleobotanical records shows repeated climate-driven ecosystem restructuring in western paleo-equatorial Euramerica.

The  $\text{CO}_2$  contents of ancient atmospheres can be estimated from the carbon stable isotope values ( $\delta^{13}\text{C}$ ) of ancient soil-formed carbonates and goethites with an uncertainty of  $\pm 500$  ppmv (12, 13). These minerals are the proxy of choice when  $p\text{CO}_2$  is high ( $\geq 1000$  ppmv), whereas the method's sensitivity decreases at lower  $p\text{CO}_2$  ( $< 800$  ppmv) (14, 15). The precision of  $p\text{CO}_2$  estimates reflects the variable assumptions used for each  $p\text{CO}_2$  calculation (16), which can be further refined if the  $\delta^{13}\text{C}$  of coexisting organic matter is available and if quantitative estimates of paleosol-respired  $\text{CO}_2$  content and paleotemperatures can be inferred from modern analogs or independently derived geochemical proxies (15).

To reconstruct atmospheric  $\text{CO}_2$  during the end of the LPIA, we measured the  $\delta^{13}\text{C}$  values of soil-formed calcites ( $\delta^{13}\text{C}_{\text{carb}}$ ) collected from mature, well-drained profiles from the Eastern Shelf of the Midland Basin; the Pedregosa, Anadarko, and Paradox Basins; and the Grand Canyon Embayment of western paleo-equatorial Euramerica (fig. S1 and table S1) (17). We consider measured paleosol  $\delta^{13}\text{C}_{\text{carb}}$  values to be a robust proxy of soil-water  $\text{CO}_2$  during formation, given the lack of evidence for mineral recrystallization and overgrowth and their overall shallow and low-temperature burial histories (18). Furthermore, we consider the  $\delta^{13}\text{C}$  of well-preserved fossil plant matter ( $\delta^{13}\text{C}_{\text{org}}$ ) to be a faithful proxy of the C isotope composition of soil-respired  $\text{CO}_2$  and, in turn, of atmospheric  $\text{CO}_2$  (19, 20). Compression and permineralized fossil plants, cuticles, coal, and charcoal were collected from mudstone deposits of abandoned



**Fig. 1.** Temporal distribution of carbonate (A) and fossil plant (B)  $\delta^{13}\text{C}$  values used to construct best estimate of Permo-Carboniferous atmospheric  $p\text{CO}_2$  (C). Individual points in (A) and (B) are the average of analyses from suites of contemporaneous paleosols (from 5 to 18) and associated plant localities (from 3 to 21); “c and p” encompasses all compression and permineralized plant matter, coals, and charcoals. Vertical bars are  $\pm 2$  SE around the mean. PDB, Pee Dee belemnite. (B) Solid curve is three-point weighted running average through samples from the Eastern Shelf, Midland Basin. Gray band is  $\delta^{13}\text{C}_{\text{org}}$  of Permo-Carboniferous coals from three correlated successions in North China Platform (22). Overlapping  $\delta^{13}\text{C}_{\text{org}}$  trends but different  $\delta^{13}\text{C}_{\text{org}}$  values are interpreted to reflect overall wetter conditions for the North China Platform relative to western paleo-equatorial Euramerica in the Permian. Data and  $p\text{CO}_2$  presented on an age model (51) developed for the terrestrial composite section by linearly interpolating between known biostratigraphic boundaries. (C) Best estimate of paleo- $p\text{CO}_2$  (black curve) from Monte Carlo simulation of chronostratigraphically well-constrained sample populations; uncertainty in  $p\text{CO}_2$  estimates (gray curves) reflects variability in  $\delta^{13}\text{C}_{\text{carb}}$  and  $\delta^{13}\text{C}_{\text{org}}$ , interpreted to record inter- and intrabasinal variations in soil conditions, vegetation, and climate. Vertical bars are published goethite-based  $\text{CO}_2$  estimates from the same set of paleosols (25).



fluvial channels and floodplains, which are stratigraphically intercalated (on a sub-10-m resolution) with carbonate-bearing paleosols (table S2). The use of measured  $\delta^{13}\text{C}_{\text{org}}$  rather than penecontemporaneous marine carbonates as a proxy of atmospheric  $\delta^{13}\text{C}$  reflects a growing appreciation of local-scale C cycling effects on the  $\delta^{13}\text{C}$  values of epicontinental marine carbonates (21). The terrestrial  $\delta^{13}\text{C}_{\text{carb}}$  and  $\delta^{13}\text{C}_{\text{org}}$  time series have an average sampling interval of <1 million years (My) and define long-term trends that exhibit systematic variability (Fig. 1, A and B). That the long-term  $\delta^{13}\text{C}_{\text{org}}$  trend records first-order variations in atmospheric  $\delta^{13}\text{C}$  is supported by its similarity to time-equivalent  $\delta^{13}\text{C}_{\text{org}}$  records of Pemo-Carboniferous

coals from the North China Platform (22) and by a narrow range, throughout the study area, in the ratio of intracellular, *pi*, and atmospheric, *pa*, partial pressures of  $\text{CO}_2$  in paleoflora [ $0.46$  to  $0.57 \pm 0.3$  (2 SE)], which were estimated by using measured  $\delta^{13}\text{C}_{\text{org}}$  values of fossil plants and  $\delta^{13}\text{C}_{\text{carb}}$  values of contemporaneous marine brachiopods (17). These factors indicate that changes in geomorphic or environmental conditions in the study area were secondary to atmospheric  $\delta^{13}\text{C}$  in influencing measured fossil-plant  $\delta^{13}\text{C}_{\text{org}}$  values.

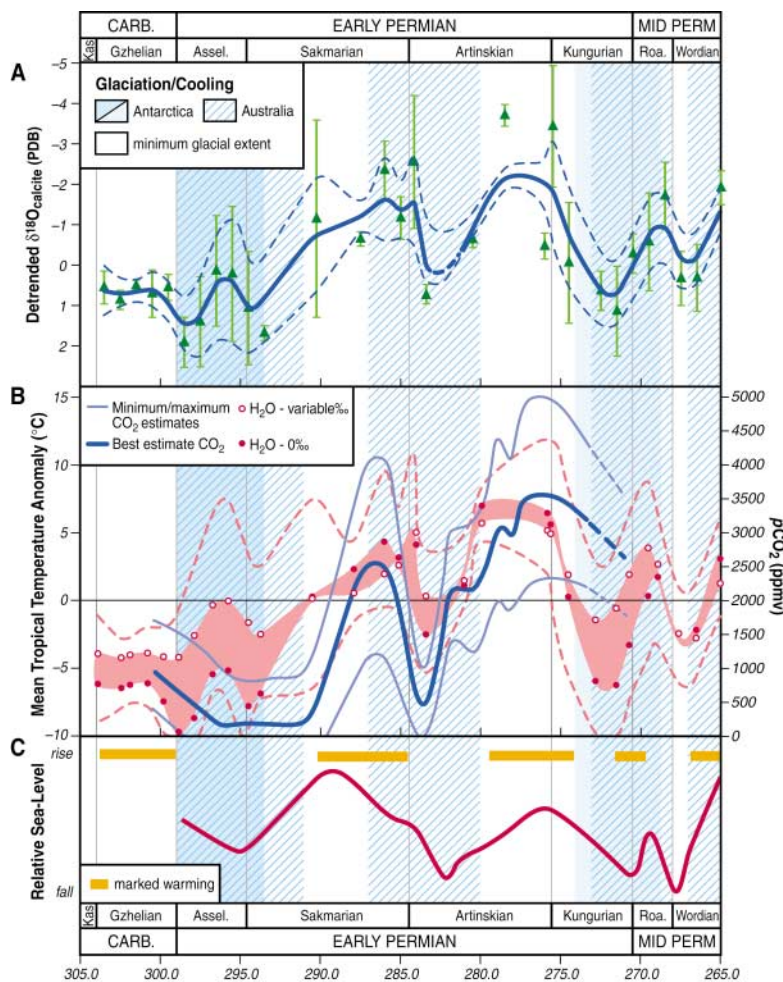
Ranges of paleosol-respired  $\text{CO}_2$  content were inferred from the morphologies of suites of contemporaneous paleosols (23) by comparison with modern analogs, addressing a major source

of uncertainty in previous applications of the  $\text{CO}_2$  paleobarometer (table S3) (14, 15). Paleosol temperatures were inferred from the oxygen and hydrogen isotopic compositions of pedogenic phyllosilicates and Fe oxides obtained from the same set of paleosols (18, 24). The best estimate of paleoatmospheric  $p\text{CO}_2$  was defined by using Monte Carlo simulation involving 1000 randomly drawn samples for each variable for each time-location combination (17). Monte Carlo simulation uses random sampling techniques to stochastically solve physical process problems, in this case quantitatively estimating paleo- $p\text{CO}_2$  and the associated uncertainty by integrating across all of the inferred and measured input variables.

Modeled  $\text{CO}_2$  concentrations (Fig. 1C and table S4) define a long-term rise from an average of present atmospheric levels (PAL = 280 ppmv) in the earliest Permian to values of up to 3500 ppmv by the late Early Permian. A substantial decline in  $p\text{CO}_2$  into the early Middle Permian is corroborated by independently derived goethite-based estimates of Permian  $p\text{CO}_2$  (25). A short-lived (~2 My) drop in  $p\text{CO}_2$  to near PAL, defined by contemporaneous paleosols, punctuates the Early Permian rise. Modeled  $p\text{CO}_2$  suggests that PAL values were limited to the earliest Permian after latest Carboniferous levels of up to 1000 ppmv, in accord with  $p\text{CO}_2$  inferred from marine carbonate  $\delta^{13}\text{C}$  (26) and with southern Gondwanan sedimentologic and geochemical evidence for latest Carboniferous warming (9, 27). Our record refines the structure of well-established  $p\text{CO}_2$  reconstructions, which indicate sustained PAL values throughout much of the Pemo-Carboniferous (15, 28, 29). The higher-frequency oscillations revealed by this study would be below the temporal resolution (5 to 20 My time-averaging) of those long-term  $\text{CO}_2$  records.

In order to evaluate the nature of the  $\text{CO}_2$ -climate relationship, we developed a time-equivalent record of paleotropical sea-surface temperatures (SSTs) by using  $\delta^{18}\text{O}$  values from a global compilation of well-preserved latest Carboniferous through Middle Permian tropical shallow-water brachiopods (table S5) (30); brachiopods have diagenetically resistant, low-Mg calcitic shells that incorporate oxygen isotopes in equilibrium with seawater (31). The residual brachiopod  $\delta^{18}\text{O}$  record (Fig. 2A) displays clear isotopic fluctuations, with intervals of maximum values corresponding to Permian glacial maxima or marked coolings in Antarctica and/or Australia (10, 11) and, to the degree afforded by geochronologic dates, with the younger periods of inferred glacial maxima in the Karoo Basin (8, 32), southern Argentina (9), and Tasmania (33). Intervals of minimum  $\delta^{18}\text{O}_{\text{carb}}$  values correspond with independently inferred periods of marked warming and sea-level rise (7–9, 34) (Fig. 2C).

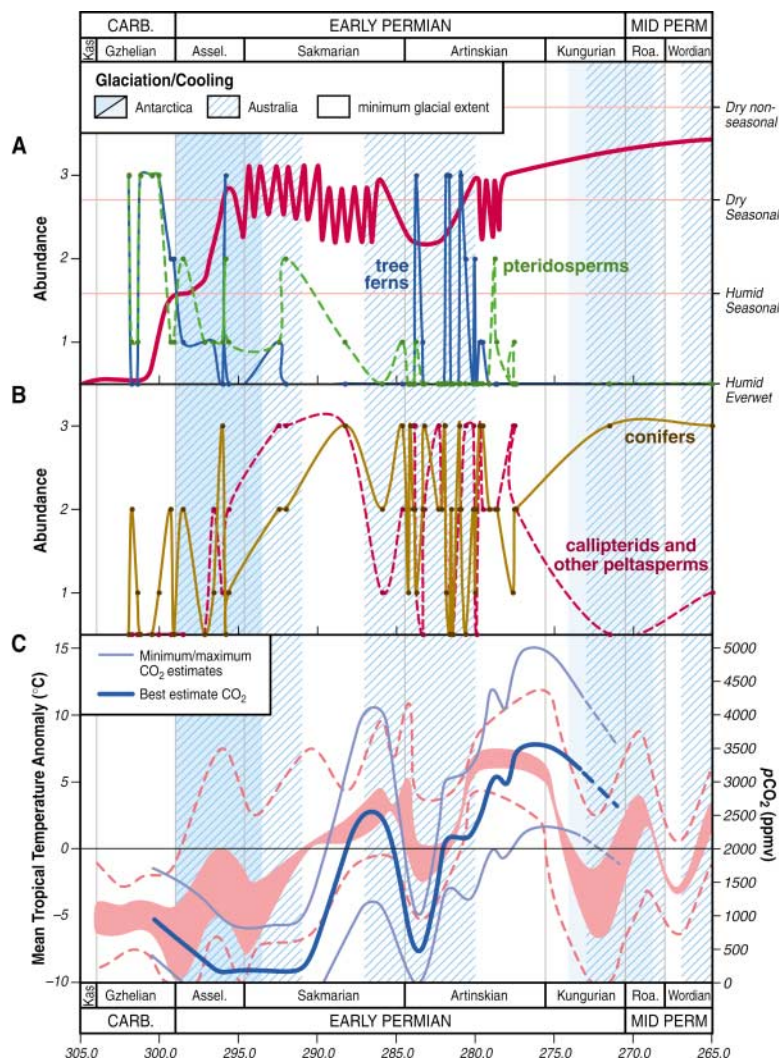
Inferring secular paleotemperatures from  $\delta^{18}\text{O}_{\text{carb}}$  requires careful consideration of the compound effects on values of continental ice



**Fig. 2.** Relationship among Permo-Carboniferous  $p\text{CO}_2$ , climate, and cryosphere. Temporal distribution of glacial maxima and/or cool periods based on stratigraphic distribution of diamicites, rhythmites, and dropstone and keel turbate structures in Antarctica and Australian glaciogenic deposits (10, 11). (A) Three-point weighted running average (blue curve) and  $\pm 2$  SE (dashed curves) of detrended  $\delta^{18}\text{O}_{\text{brachiopod}}$  values binned into 1- to 3-My windows (green triangles). Error bars indicate  $\pm 2$  SE around the mean  $\delta^{18}\text{O}_{\text{brachiopod}}$  values. (B) Inferred paleotropical SSTs (red interval) (40) are reported as temperature anomalies given the potential effects of local and regional environmental and diagenetic influences on brachiopod  $\delta^{18}\text{O}$ . Paleo-SST anomalies (relative to  $17.5^\circ\text{C}$ ) were calculated from a three-point weighted running average ( $\pm 2$  SE) through  $\delta^{18}\text{O}$ -based paleotemperature estimates (table S5). Blue curves are best estimate (heavy) and uncertainty (light) of paleo- $p\text{CO}_2$ . (C) Relative sea-level curve compiled from (8, 53); distribution of warm intervals, from (7–9) and (34).

volume, local hydrography, and SST, as well as any vital effects and postdepositional alteration (31, 35). The eustatic component in the Permo-Carboniferous brachiopod  $\delta^{18}\text{O}$  record due to ice volume variability likely accounts for far less than 2 per mil (‰) of the observed  $\delta^{18}\text{O}$  variation given reconstructed amplitudes (10 to <100 m) of Permo-Carboniferous glacio-eustasy (10) and an O isotope composition of seawater ( $\delta^{18}\text{O}_{\text{sw}}$ )–sea level relationship of 0.1‰ per 10 m of sea level change (36). The residual secular  $\delta^{18}\text{O}_{\text{carb}}$  signal is interpreted to record changes in temperature, salinity, and

pH. Local hydrographic variations in tropical epicontinental seas would have dampened the magnitude of  $\delta^{18}\text{O}_{\text{carb}}$  shifts, given hypothesized heightened freshwater discharge to continental shelves (decreased salinity and lowered  $\delta^{18}\text{O}_{\text{sw}}$ ) during late Paleozoic periods of maximum glaciation, and increased evaporation (increased salinity and  $\delta^{18}\text{O}_{\text{sw}}$ ) during drier, highly seasonal glacial minima (36). Moreover, paleo-SSTs under elevated  $p\text{CO}_2$  may be underestimated by up to 2°C, given that lowered seawater pH would have shifted  $\delta^{18}\text{O}_{\text{carb}}$  to less negative values (38, 39).



**Fig. 3.** Patterns of abundance change in major flora of study area (A and B) and comparison to independently derived Permo-Carboniferous climate and  $p\text{CO}_2$  (C). Plants from 49 sampling localities on the Eastern Shelf, Midland Basin, are rank ordered: 1, rare (occurs in <10% of sampling quadrats at any given locality), 2, common (occurs in 10 to 50% of sampling quadrats), and 3, abundant (occurs in >50% of sampling quadrats). (A) Tree ferns and pteridosperms are hygromorphic and occur in deposits with sedimentologic and pedogenic indicators of everwet to subhumid seasonal conditions. Red climate curve for paleoequatorial western Euramerica defined by using soil moisture regimes and degree of seasonality inferred from paleosol morphologies (23); zigzag pattern indicates short-term ( $10^3$  to  $10^5$  year) climate cycles inferred from intervals of polygenetic soils that exhibit climatically out-of-phase superposition of calcic and argillic horizons. (B) Conifers and peltasperms are xeromorphic and typically are found in association with sedimentologic and pedogenic indicators of moisture limitation.

The amplitudes of the reconstructed SST shifts (40) indicate substantial changes in the mean state of tropical climate during the end of the LPIA, with glacial tropical oceans at least 4° to 7°C cooler than those of intervening glacial minima (Fig. 2B). Inferred periods of elevated tropical SSTs and  $p\text{CO}_2$  coincide with independently recognized intervals of warmer temperate conditions in high-latitude southern Gondwana (Fig. 2C) indicated by the accumulation of nonglacial sediments, including extensive kaolin and bauxite deposits in Australia during peak (Artinskian) warming and  $p\text{CO}_2$  (7) and increased faunal diversity in Australia and South America (7, 11, 41). The covariance among inferred shifts in paleotropical SSTs,  $p\text{CO}_2$ , and variations in high-latitude Gondwanan glaciation and climate implies a strong  $\text{CO}_2$ -climate-glaciation linkage during the Permian. Although our coupled records suggest atmospheric  $\text{CO}_2$  may have played a direct role in forcing Early to Middle Permian climate and ice mass stability, a determination of phase relationships between these parameters is precluded by the uncertainties in the age models. The inferred variations in tropical SSTs between periods of glacial maxima and minima, however, are consistent with the range predicted by Permian climate simulations for a change in radiative  $\text{CO}_2$  forcing from 1 to 8 PAL (42).

Permo-Carboniferous plant assemblages from western paleoequatorial Euramerica archive a mechanistic vegetational response to late Paleozoic  $p\text{CO}_2$  and climate change. Reconstructed plant communities from the same terrestrial successions that host the pedogenic mineral-bearing paleosols document major dominance-diversity changes corresponding one-for-one to inferred changes in paleotropical climate,  $p\text{CO}_2$ , and glacial extent (Fig. 3 and table S6). Four tropical biomes appear in succession, composed of increasingly xeromorphic species, representing progressively more seasonally moisture-stressed environments. These biomes are floristically distinct, sharing only a few opportunistic ferns and sphenopsids (43). Typical latest Carboniferous flora, rich in marattialean ferns, medullosan pteridosperms, sphenopsids, and sigillarian lycopsids, was replaced essentially instantaneously by one rich in conifers [*Walchia* and *Ernestiodendron*; compare with *Brachyphyllum* (44), callipterids (*Rhachiphyllum*), cycadophytes (*Russellites*), and other seed plants [*Cordaites*, *Sphenopteridium* (45)]]; This floristic shift is synchronous with an abrupt continental climate transition from everwet to semi-arid conditions (Fig. 3A), characterized by increased temperatures (18, 24) and seasonal moisture availability inferred from paleosol morphologies (23).

Conifers and callipterids diversified in seasonally dry habitats during the initial Early Permian (Sakmarian) rise in  $\text{CO}_2$  and the warm period of glacial minima, spatially replacing the tree fern-rich and the pteridosperm-rich wetland floras (Fig. 3). Tree fern-rich floras reappeared during wetter, cooler conditions of the mid-Early



Permian (Artinskian) glaciation, stratigraphically intercalated but not mixed, with conifer-calipterid floras. These two glacial floras show limited species overlap and oscillated at the  $10^3$ - to  $10^5$ -year scale, reflecting short-lived pluvials (46). Dramatic floristic changes also occurred during the cold period at the close of the Early Permian (Kungurian), with the migration into lowland basins of unique seed-plant assemblages not observed again until the Late Permian (conifers) and the Mesozoic (cycads) (47). These temporally successive floras tracked climatic conditions and contained progressively more evolutionarily advanced lineages. This suggests that evolutionary innovation, the appearance of new plant body plans, occurred in extrabasinal areas and was revealed by climate-driven floral migration into lowland basins.

The history of latest Carboniferous to Middle Permian climate provides a unique deep-time perspective on the precarious balance between icehouse and greenhouse states during major climate transitions, which are coupled to changing atmospheric  $\text{CO}_2$  content. Maximum expansion of Gondwanan continental ice sheets occurred during earliest Permian time (10) under the lowest paleoatmospheric  $\text{CO}_2$  levels and paleotropical SSTs. Widespread Early Permian (mid-Sakmarian) collapse of ice sheets (8, 10) coincided with the onset of rising atmospheric  $\text{CO}_2$  levels, after which time tropical SSTs and  $p\text{CO}_2$  rose. Subsequent glacial influence was restricted to eastern Australia (6), with resurgent ice masses occurring during three more episodes (11) of lowered atmospheric  $p\text{CO}_2$  before the permanent transition to an ice-free world (260 Ma). Our study indicates that ice buildup in Australia during subsequent cold periods, however, was progressively less widespread, with the two youngest glacials generally confined to local valleys or mountain ice caps along the polar margin of Australian Gondwana. Notably, SSTs and  $p\text{CO}_2$  did not return to earliest Permian levels during these post-Sakmarian glacial periods.

Our reconstructed  $p\text{CO}_2$ , paleotemperatures, and inferred glacial history depict an Early Permian atmosphere that systematically increased from PAL to levels similar to those predicted to exist if fossil fuels are exhausted. Although global-scale deglaciation was unrelenting under rising Early Permian atmospheric  $\text{CO}_2$ , transient periods of icehouse stability and glacial resurgence returned during short-lived intervals of low  $p\text{CO}_2$ , perhaps until a  $\text{CO}_2$  threshold and greenhouse stability precluded the reestablishment of glacial conditions [compare with (48)]. This late Paleozoic climate behavior mimics, in reverse, the magnitude and temporal scale of atmospheric  $\text{CO}_2$  changes and ephemeral warmings that foreshadowed the transition into our present glacial state (4, 5), further documenting the degree of climate variability, carbon cycle perturbation, and tropical ecosystem restructuring that has been associated with past  $\text{CO}_2$ -forced climate transitions.

## References and Notes

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## Supporting Online Material

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